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03-28-01

CPA/2172\$

PTO/SB/29 (12/97)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing

(Only for Continuation or Divisional Applications under 37 CFR 1.53(d))

CHECK BOX, if applicable

☐ **DUPLICATE**

Address to:

COMMISSIONER FOR PATENTS

BOX CPA

WASHINGTON, DC 20231

Attorney Docket No.

M-9884 US

First Named Inventor

Craig D. Weissman

Examiner Name

R. Ho

Group/Art Unit

2172

Express Mail Label No.

EL699361105 US

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APR X 2 2001

Technology Center 2100

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR §1.53(d), (continued prosecution application (CPA)) of prior application number 09/073,748 filed on May 6, 1998 entitled Method and Apparatus for Creating a Well-Formed Database System Using a Computer.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either (1) complete as defined by 37 CFR §1.51(b), OR (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. §154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR §1.53(d), but must be filed under 37 CFR §1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR §1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR §1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application, or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR §1.78(a).

1. ☐ Enter the unentered amendment previously filed on ___ under 37 CFR §1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR §1.53 (d)(4).
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/8I) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449;
 - b. ☐ ___ Copies of IDS Citations.

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CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		RATE		(5) CALCULATIONS
40	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	20	x	\$18	=	\$0.00
2	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$80	=	\$ 0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))					+	\$260.00	=
	BASIC FEE (37 CFR 1.16(a))						=	\$ 710.00
	Fee for 2 month extension of time						=	390.00
							=	
	TOTAL						=	\$11,00.00

6. Small entity status:

- a. ☐ A small entity statement is enclosed, if (b) and (c) do not apply.
b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
c. ☐ Is no longer claimed.

7. FEES: The Commissioner is hereby authorized to credit overpayments or charge the following fees to:

Deposit Account No. 19-2386:

- a. ☒ Fees required under 37 CFR §1.16. (U.S. Application Filing Fees)
b. ☒ Fees required under 37 CFR §1.17. (Conditional Extension of Time Fees)
c. ☐ Fees required under 37 CFR §1.18. (Patent Issue Fees)

8. ☐ A check in the amount of \$ _____ is enclosed.

9. ☐ Other: _____

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NOTE:

The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

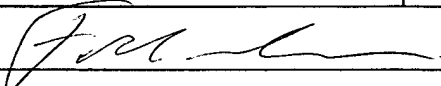
10. NEW CORRESPONDENCE ADDRESS

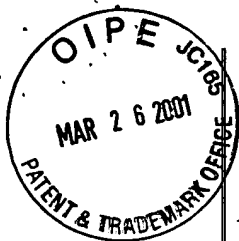
☐ Customer Number or Bar Code Label

☐ New correspondence address below

NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	FAX			

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP 25 Metro Drive, Suite 700 San Jose, CA 95110 Tel. (408) 453-9200 Fax. (408) 453-7979	
Date:	March 26, 2001
Name	Fabio E. Marino Reg. No. 43,339
Signature	
Express Mail Label No.	EL699361105 US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#10B
4/4/01
AW.

Applicants: Weissman, Craig D.; Walsh, Gregory V.; Wegbreit, Eliot L.

Assignee: E.piphany, Inc.

Title: Method and Apparatus for Creating a Well-Formed Database System
Using a Computer

Serial No.: 09/073,748

Filing Date: May 6, 1998

Examiner: Ho, R.

Group Art Unit: 2771

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APR X 2 2001

Docket No.: M-9884 US

Technology Center 2100

San Jose, California

March 26, 2001

BOX CPA

COMMISSIONER FOR PATENTS

Washington, D. C. 20231

AMENDMENT

Dear Sir:

Applicants request entry of the following amendment of the above-identified patent application. This amendment is being filed with a Continued Prosecution Application.

IN THE SPECIFICATION

Please replace the paragraph beginning at page 12, line 18 with the following amended paragraph:

Figure 1 illustrates a datamart system representing one embodiment of the invention. The system supports the creation of a well-formed datamart. This system allows consultants

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